



Investment Professional Disclosure Form

Name: Bruce R. Galvin

Title: Founder

Company: Revelation Financial Planning LLC

Address:

City: Seattle State: WA Zip: 98103

Broker/Dealer Affiliation: None

Financial Planning Services Provided

1. Which of the following financial planning services are offered by your firm?

Cash management & budgeting Insurance needs Education planning Investment planning Estate planning Retirement planning Goals and objectives Tax planning Other (Asset Allocation and Risk Management)

2. Do your services include recommendations for specific investment products? Yes ___ No

3. Do you sell investment products? ___ Yes No

4. Do you take either full or limited discretionary authority over the management of assets? ___ Yes No

Education and Experience

1. EDUCATION

Bachelors ___ Masters MBA ___ Doctorate ___ JD ___ Other

Area degree awarded in:

2. LICENSES AND CERTIFICATIONS

___ Certified Public Accountant ___ Attorney ___ Accredited Financial Planner Specialist (AFPS) Certified Financial Planner (CFP®) ___ Chartered Financial Consultant (ChFC) ___ Other

3. PROFESSIONAL ASSOCIATIONS

American Bar Association American Institute of Certified Public Accountants
American Society of CLU & ChFC (PACE) Financial Planning Association (FPA)
National Association of Personal Financial Advisors (NAPFA) Registry of Financial
Planning Practitioners Other

4. How long have you been offering financial planning services? since 2005

5. How many financial planning continuing education credits have you completed in the last full calendar year? at a minimum meet the required 30 hours of continuation education requirement over a two year period

6. Have you ever been cited by a professional or regulatory governing body for disciplinary reasons?

Yes No If yes, explain:

METHOD OF COMPENSATION

1. How do you bill for the financial planning services you provide?

Fee Only Commission Fee and Commission Salary

2. Does your firm, an affiliate of your firm, or any member of your firm act as a general partner, participate in or receive compensation as a general partner, from investments that you may recommend to me? Yes No

3. Do you or any related parties receive any compensation from any persons or firms to whom I may be referred? Yes No

REGULATORY COMPLIANCE

Washington State Department of Financial Institutions Securities Division and the U.S. Securities & Exchange Commission (SEC) require that a firm or individual providing financial planning services to the public for commission, in most cases, must be registered as an investment adviser with both the SEC and the Securities Division.

1. Are you and your firm registered as an investment advisor with the US Securities & Exchange Commission? Yes No Exempt

2. Are you and your firm registered as an investment advisor with the State of Washington Securities Division? Yes No Exempt

3. The SEC requires all registered investment advisors to provide a potential client with information reported on form ADV Part II.

___ You have given me form ADV Part II.

___ You have not shown me form ADV Part II.

___ You have given me form ADV Part II in a brochure.

Signature _____ Date _____